



3731

PATENT

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Assistant Commissioner for Patents, Washington, D.C.
20231 on February 4, 2002.

Kevin G. Rooney
Kevin G. Rooney, Reg. No. 36,330
2/4/02

Date

Applicants: Warren P. Williamson IV et al.
Serial No: 09/986,260
Filing Date: November 8, 2001
Art Unit: 3731
Examiner: Unknown
Title: SURGICAL CLAMP DEVICES AND METHODS ESPECIALLY USEFUL IN CARDIAC SURGERY
Atty Docket: IDX-02A

Cincinnati, Ohio 45202

February 4, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in

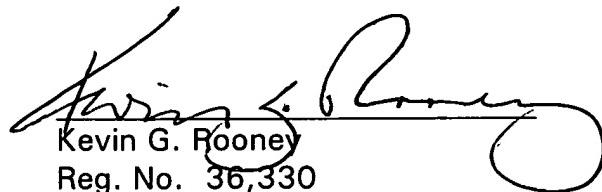
connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.



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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IDX-02A	SERIAL NO. 09/986,260			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Warren P. Williamson IV et al.				
(Use several sheets if necessary) (37 CFR 1.98(b))				FILING DATE November 8, 2001	GROUP 3731			
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		PATENT NUMBER		ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	6	1	1	0	3	8	ROUT
	A.B	2	2	3	4	6	8	6
	A.C	2	6	6	2	5	2	4
	A.D	2	9	3	5	0	6	8
	A.E	3	0	1	9	7	9	0
	A.F	3	5	3	8	9	1	7
	A.G	3	7	3	0	1	8	6
	A.H	3	7	4	6	0	0	2
	A.I	3	8	2	5	0	1	1
	A.J	3	8	6	6	1	0	
	A.K	3	8	8	0	1	6	6
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	A.L	WO 98/46297	10/1998	PCT				
	A.M							
	A.N							
	A.O							
	A.P							
	A.Q							
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)								
	A.R							
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	A.T							
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.								

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT								APPLICANT Warren P. Williamson IV et al.	RECEIVED TO 3731 FEB 11 2002				
(Use several sheets if necessary) (37 CFR 1.98(b))								FILING DATE November 8, 2001	GROUP 3731				
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	RUN IF APPROPRIATE	
	B.A	4	1	1	2	9	4	4	9/1978	Williams	128	214	
	B.B	4	4	8	4	9	1	1	11/1984	Berlin et al.	604	174	
	B.C	4	5	3	1	5	1	9	7/1985	Dunn et al.	128	327	
	B.D	4	6	1	9	2	4	6	10/1986	Molgaard-Nielsen et al.	128	1	
	B.E	4	6	6	2	8	8	5	5/1987	DiPisa, Jr.	623	12	
	B.F	4	6	7	1	2	8	2	6/1987	Tretbar	128	346	
	B.G	4	7	1	2	5	5	1	12/1987	Rayhanabad	128	334	
	B.H	4	7	9	2	3	3	0	12/1988	Lazarus et al.	604	174	
	B.I	4	7	9	3	3	4	8	12/1988	Palma	128	325	
	B.J	4	8	1	7	6	0	0	4/1989	Herms et al.	128	303	
	B.K	4	8	2	1	7	1	9	4/1989	Fogarty	128	325	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	B.L												
	B.M												
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	B.O												
	B.P												
	B.Q												
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		INFORMATION DISCLOSURE STATEMENT BY APPLICANT							APPLICANT Warren P. Williamson IV et al.				
		(Use several sheets if necessary) (37 CFR 1.98(b))							FILING DATE November 8, 2001	GROUP 3781			
U.S. PATENT DOCUMENTS										RECEIVED FEB 13 2002 USPTO			
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	C.A	4	8	3	2	0	5	5	5/1989	Palestrant	128	899	NOV 10 2001
	C.B	4	9	1	9	6	5	1	4/1990	Doane	604	96	
	C.C	4	9	4	6	4	6	3	8/1990	Wright	606	158	
	C.D	4	9	6	9	8	9	1	11/1990	Gewertz	606	200	
	C.E	4	9	7	9	9	3	7	12/1990	Khorasani	604	8	
	C.F	5	0	7	4	8	7	0	12/1991	von Zeppelin	606	158	
	C.G	5	1	0	8	4	2	0	4/1992	Marks	606	213	
	C.H	5	1	3	1	3	7	9	7/1992	Sevell, Jr.	128	4	
	C.I	5	1	3	3	7	2	4	7/1992	Wilson, Jr. et al.	606	151	
	C.J	5	1	6	0	3	4	2	11/1992	Reger et al.	606	200	
	C.K	5	2	2	4	9	3	1	7/1993	Kumar	604	51	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	C.L												
	C.M												
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	C.O												
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Warren P. Williamson IV et al.	
(Use several sheets if necessary) (37 CFR 1.98(b))				FILING DATE November 8, 2001	GROUP 3731



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	D.A	5	2	3	4	4	5	8		8/1993	Metais	606	200	3/1993
	D.B	5	2	3	6	4	3	7		8/1993	Wilk et al.	606	207	3/1993
	D.C	5	2	5	4	0	9	5		10/1993	Harvey	604	115	3/1994
	D.D	5	2	8	2	8	1	2		2/1994	Suarez, Jr.	606	158	3/1994
	D.E	5	3	3	0	4	9	8		7/1994	Hill	606	194	
	D.F	5	3	4	2	3	8	9		8/1994	Haber et al.	606	205	
	D.G	5	3	5	0	3	8	4		9/1994	Clement et al.	606	108	
	D.H	5	4	1	3	5	8	6		5/1995	Dibie et al.	606	200	
	D.I	5	4	5	4	8	2	6		10/1995	Ueda	606	158	
	D.J	5	4	9	9	9	9	6		3/1996	Hill	606	201	
	D.K	5	5	2	2	8	3	8		6/1996	Hill	606	201	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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	D.L						
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	D.P						
	D.Q						

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(Use several sheets if necessary) (37 CFR 1.98(b))								FILING DATE November 8, 2001	GROUP 3731				
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	E.A	5	5	2	7	3	3	8	6/1996	Purdy	606	200	3/11/02
	E.B	5	5	3	6	2	5	1	7/1996	Evard et al.	604	93	
	E.C	5	5	5	6	4	1	2	9/1996	Hill	606	194	
	E.D	5	5	6	9	2	7	4	10/1996	Rapacki et al.	606	58	
	E.E	5	6	1	8	3	0	7	4/1997	Donlon et al.	606	205	
	E.F	5	6	2	4	4	5	4	4/1997	Palti et al.	606	151	
	E.G	5	6	2	6	6	0	5	5/1997	Irie et al.	606	200	
	E.H	5	6	6	9	9	3	3	9/1997	Simon et al.	600	200	
	E.I	5	6	9	7	9	4	2	12/1997	Palti	606	151	
	E.J	5	7	0	4	9	2	5	1/1998	Otten et al.	604	272	
	E.K	5	7	4	1	2	8	3	4/1998	Fahy	606	157	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	E.L												
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(Use several sheets if necessary (37 CFR 1.98(b))		FEB 11 2001 PATENT & TRADEMARK OFFICE		FILING DATE November 8, 2001	GROUP 3081

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	F.A	5 7 4 6 7 6 7	5/1998	Smith	606	200	
	F.B	5 7 5 5 6 8 7	5/1998	Donlon	604	53	
	F.C	5 7 6 9 8 1 6	6/1998	Barbut et al.	604	96	
	F.D	5 8 0 0 5 2 5	9/1998	Bachinski et al.	623	1	
	F.E	5 8 0 3 9 1 9	9/1998	Hart et al.	604	167	
	F.F	5 8 1 4 0 6 4	9/1998	Daniel et al.	606	200	
	F.G	5 8 2 7 3 2 4	10/1998	Cassell et al.	606	200	
	F.H	5 8 3 6 9 6 9	11/1998	Kim et al.	606	200	
	F.I	5 8 4 6 2 6 0	12/1998	Maahs	606	200	
	F.J	5 8 5 5 5 9 0	1/1999	Malecki et al.	606	205	
	F.K	5 9 2 8 2 5 3	7/1999	Sherman et al.	606	151	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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	F.L						
	F.M						
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FEB 11 2002
PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	G.A	5	9	3	5	1	0	3	8/1999	Hill		604	96	10 FEB 12 2002 MAIL ROOM RECEIVED
	G.B	5	9	4	1	8	9	4	8/1999	Hill		606	194	10 FEB 12 2002 MAIL ROOM RECEIVED
	G.C	6	0	1	0	5	3	1	1/2000	Dunlon et al.		623	2	10 FEB 12 2002 MAIL ROOM RECEIVED
	G.D													
	G.E													
	G.F													
	G.G													
	G.H													
	G.I													
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